

Customer Requests For Order Routing Information

CIS's clearing firm (RBC) publishes quarterly statistics regarding routing of non-directed orders in NMS stocks that are submitted on a held basis. This information is available on RBC's website: <https://www.rbcwm-usa.com/legal/rbc-as/cid-360857.html> and in hard copy for those who do not have access to the internet. Customers may request information on how their individual orders were handled for the past six months by making a request to CIS's Operations Department at (360) 423-5110.

The report is published by the end of the month following the calendar quarter reported.